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Attorney Docket No. 10112531

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yi-Nan CHEN, Hsin-Chuan TSAI

Filed: 7/28/2003

Appl. No.: 10/628,899

Examiner: VU, DAVID

Conf. No.: 7431

Art Unit: 2818

Title: METHOD FOR FABRICATING BOTTLE-SHAPED TRENCH CAPACITOR

Date: July 13, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

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Sir:

In accordance with 37 C.F.R. §1.56, the materials listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office action on the merits, but before the mailing of a final action or the notice of allowance. Accordingly, please charge deposit account No. 502447 in the amount of \$180 to cover the fee under 37 C.F.R. §1.17(p).

A copy of each non-U.S. patent or patent application publication is enclosed. Some documents submitted herewith may have markings on thereon. No significance is meant to be attached to

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the markings. The year of publication of the Wolf reference is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the particular month of publication is not in issue.

The filing of this information disclosure statement shall not be construed to be a representation that a search has been conducted, nor shall it be construed as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

It is respectfully requested that the Examiner return a copy of the attached form PTO-1449 with initials or other appropriate marks indicating consideration of the cited materials.

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I hereby certify that this correspondence is being deposited with the United States Postal service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent & Trademark Office to (703) 872-9306 on the date shown below:

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July 13, 2005
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Respectfully submitted,

Nelson A. Quintero
Attorney for Applicant
Reg. No. 52,143
Customer No. 34,283

Telephone: (310) 401-6180

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
				Application Number	10/628,899
				Filing Date	July 28, 2003
				First Named Inventor	Yi-Nan CHEN
				Art Unit	2818
				Examiner Name	VU, DAVID
Sheet	1	of	1	Attorney Docket Number	10112531

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number (Number – kind code)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Passages or Relevant Figures Appear
	A1	2004/0214390	10-28-2004	Chen et al	
	A2	2003/0153158	08-14-2003	Ho et al	
	A3				
	A4				
	A5				

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number (Number – kind code)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Passages or Relevant Figures Appear	T
	B1					
	B2					
	B3					
	B4					

OTHER ART					T
Examiner Initials	Cite No.	Include name of author (in CAPS), title of article* (when appropriate), title of the item (book, magazine, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	C1	WOLF, STANLEY, <i>Silicon Processing for VLSI Era</i> , 1986, Lattice Press, vol. 1, pp 56-58, 200, 242, 264 and 532			
	C2				
	C3				
	C4				
	C5				

Form PTO-1449 (modified)

(v08/25/2002)

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

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